SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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			or Section So(ii) of the investment Company Act of 1940						
	Idress of Reporting		2. Issuer Name and Ticker or Trading Symbol Cara Therapeutics, Inc. [CARA]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
BAINS HA	ARRISON M	JR	<u></u> []	X	all applicable) Director 10% Ow Officer (give title Other (s below) below)	10% Owner			
	(First)		3. Date of Earliest Transaction (Month/Day/Year) 06/21/2017			Other (specify below)			
4 STAMFOR	RD PLAZA, 107	ELM STREET	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check J				
(Street)				X	Form filed by One Re	porting Person			
STAMFORD	CT	06902			Form filed by More th Person	an One Reporting			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)		ate Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						5. Amount of Securities Beneficially Owned Following	Form: Direct	7. Nature of Indirect Beneficial Ownership		
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (l 8)		5. Numb of Derivati Securiti Acquire (A) or	Expiration Date of Securities (Month/Day/Year) Underlying es Derivative Secu		es g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Dispose of (D) (II 3, 4 and (A)	nstr.	Date Exercisable	Expiration Date	tion Title			Reported Transaction(s) (Instr. 4)	() (
Stock Option (Right to Buy)	\$19.5	06/21/2017		A		15,000		(1)	06/21/2027	Common Stock	15,000	\$0.00	15,000	D	

Explanation of Responses:

1. This option was granted to the reporting person pursuant to the issuer's non-employee director compensation policy. The shares underlying the option vest and become exercisable on June 21, 2018, subject to the reporting person's continued service as a director through such date.

Remarks:

/s/Darren DeStefano, Attorney-06/23/2017

in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.