SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person [*] Vifor (International) Ltd			2. Date of Event Requiring Statement (Month/Day/Year) 10/20/2021			3. Issuer Name and Ticker or Trading Symbol Cara Therapeutics, Inc. [CARA]							
(Last) (First) (Middle) RECHENSTRASSE 37						4. Relationship of Reporting Issuer (Check all applicable) Director X				5. If Amendment, Date of Original Filed (Month/Day/Year)			
(Street) CH-9014 ST. GALLEN	V8						Officer (give title below)			specify		eck Applicable Form filed Person	by One Reporting by More than One
(City)	(State)	(Zip)											
			Tab	ole I - Nor	-Deriva	tiv	ve Securities Benefic	cial	lly Ov	vned			
1. Title of Security (Instr. 4)						2. Amount of Securities Beneficially Owned (Instr. 4) (D) or Ind (I) (Instr. 5)		oirect direct	ct Ownership (Instr. 5) ect				
Common Stock, par value \$0.001 per share						7,396,770		I		See	See Notes ⁽¹⁾⁽²⁾⁽³⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 4) Expiration Date (Month/Day/						3. Title and Amount of Secur Underlying Derivative Securi (Instr. 4)				cise Form:	5. Ownership Form: Direct (D)	Ownership (Instr.	
				Date Exercisable	Expiratio Date	on	Title	or Nu of	nount mber ares	Derivat Securit	ive	or Indirect (I) (Instr. 5)	5)
1. Name and Ad Vifor (Inte			n*										
(Last) RECHENST	(First) RASSE 37		(Midd	lle)									
(Street) CH-9014 ST. GALLEN	V8				_								
(City)	(State)		(Zip)		_								
1. Name and Ad Vifor Phar		orting Perso	n*										
(Last) RECHENST	(First) RASSE 37		(Midd	lle)									
(Street) CH-9014 ST. GALLEN	V8												
(City)	(State)		(Zip)										
1. Name and Ad	dress of Rep	orting Perso	n*										

1. Name and Address of Reporting Person*

<u>Vifor Fresen</u> <u>Pharma Ltd.</u>		al Care Renal
(Last) RECHENSTRA	(First) ASSE 37	(Middle)
(Street) CH-9014 ST. GALLEN	V8	
(City)	(State)	(Zip)

Explanation of Responses:

1. In addition to Vifor (International) Ltd., an entity formed under the laws of Switzerland ("Vifor (International)"), this Form 3 is being filed by Vifor Fresenius Medical Care Renal Pharma Ltd., an entity formed under the laws of Switzerland ("VFMCRP"), and Vifor Pharma Ltd., an entity formed under the laws of Switzerland ("Vifor Pharma" and together with Vifor and VFMCRP, the "Reporting Persons"). The business addresses for each of the Reporting Persons are listed above. Each of the Reporting Persons may be deemed to have a pecuniary interest in securities reported by it on this Form 3 (the "Subject Securities").

2. VFMCRP's beneficial ownership of the common stock referred to herein is being reported solely because VFMCRP may be deemed to beneficially own 6,221,943 shares of Common Stock of Cara Therapeutics, Inc. as a result of its right to acquire such shares upon exercise of an option granted to it by Vifor (International). Vifor Pharma's beneficial ownership of the common stock referred to herein is being reported solely because Vifor Pharma may be deemed to beneficially own 7,396,770 shares of Common Stock of Cara Therapeutics, Inc. as a result of its indirect ownership of 100% of the equity interests of Vifor (International) and 55% of the equity interests of VFMCRP.

3. Each of the Reporting Persons disclaims any beneficial ownership of any of the Subject Securities, except to the extent of any pecuniary interest therein.

Remarks:

VIFOR	
(INTERNATIONAL)	
LTD., By: /s/ Georg Frey,	
<u> Title: Head Legal</u>	<u>10/21/2021</u>
Corporate, By: /s/ Markus	
<u>Frenzen, Title: Group</u>	
<u>Treasurer</u>	
VIFOR PHARMA LTD.,	
<u>By: /s/ Georg Frey, Title:</u>	
Head Legal Corporate, By:	<u>10/21/2021</u>
/s/ Markus Frenzen, Title:	
<u>Group Treasurer</u>	
VIFOR FRESENIUS	
MEDICAL CARE	
RENAL PHARMA LTD.,	
<u>By: /s/ Georg Frey, Title:</u>	<u>10/21/2021</u>
Head Legal Corporate, By:	
/s/ Markus Frenzen, Title:	
<u>Group Treasurer</u>	
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.